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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))		Attorney Docket No.	SAZ-002.01 (21457-201)
		First Inventor or Application Identifier	Seabron Adamson
		Title	Systems and Methods for Trading Electrical Transmission Rights
		Express Mail Label No.	EL046635668US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner to Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> • Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total pages 22] (referred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets 8)		ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration (Total Sheets 1) <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63 (d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. § 1.63(d)(2) and 1.33(b) X A SMALL ENTITY STATUS IS HEREBY ASSERTED AND CLAIMED.		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27) EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).		8. <input type="checkbox"/> (37 C.F.R. § 3.73) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney	
		9. <input type="checkbox"/> English Translation Document (if applicable)	
		10. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		11. <input type="checkbox"/> Preliminary Amendment	
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		13. <input type="checkbox"/> *Small Entity Statement(s) (PTO/SB/09-12) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired	
		14. <input type="checkbox"/> Certified Copy of Priority Documents(s) (if foreign priority is claimed)	
		15. Other	
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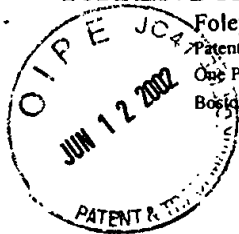
16. This application claims priority to U.S. Provisional Application Serial No. 60/258,145, filed December 22, 2000, which application is hereby incorporated herein by reference..

16. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		Customer No: 25181 (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Correspondence address below	
Name	Chinh H. Pham				
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Name (Print/Type)	Chinh H. Pham	Registration No Attorney/Agent)	39,329
Signature		Date	December 4, 2001

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PATENT APPLICATION CLAIMS SHEET Atty.Docket Number

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Patent Group
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SAZ-002.01
(21457-201)

CLAIMS AS FILED

	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE (37 CFR 1.16(a))			\$740.00	\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	33-20=	13	x \$18	234.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3=	0	x \$80	-0-
MULTIPLE DEPENDENT CLAIM PRESENT	(37 CFR 1.16(d))		\$0	
* NUMBER EXTRA MUST BE ZERO OR LARGER			TOTAL	\$974.00
	If applicant has small entity status under 37 CFR 1.9 and 1.27 then divide total fee by 2, and enter amount here.		SMALL ENTITY	\$487.00

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/034,294	12/21/2001	2161	487	SAZ-002.01 (21457-201)	6	33	2

CONFIRMATION NO. 9749

25181
FOLEY, HOAG & ELIOT, LLP
PATENT GROUP
ONE POST OFFICE SQUARE
BOSTON, MA 02109

FILING RECEIPT



OC000000007379784

Date Mailed: 01/28/2002

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Applicant(s)

Seabron Adamson, Cambridge, MA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/258,145 12/22/2000

Foreign Applications

If Required, Foreign Filing License Granted 01/28/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Systems and methods for trading electrical transmission rights

Preliminary Class

705

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/034,294	12/21/2001	2161	552	SAZ-002.01 (21457-201)	6	33	2

CONFIRMATION NO. 9749

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OC000000008109291

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Applicant(s)

Seabron Adamson, Cambridge, MA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/258,145 12/22/2000

Foreign Applications

If Required, Foreign Filing License Granted 01/28/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**** SMALL ENTITY ******Title**

Systems and methods for trading electrical transmission rights

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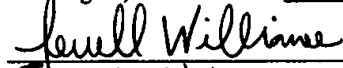
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Applicant:	Adamson, S.	Atty Docket:	SAZ-002.01 (21457-201)
Serial No.:	10/034,294	Art Unit:	
Date Filed:	December 21, 2001	Examiner:	Not Yet Assigned
Invention:	SYSTEMS AND METHODS FOR TRADING ELECTRICAL TRANSMISSION RIGHTS		

CERTIFICATE OF MAILING

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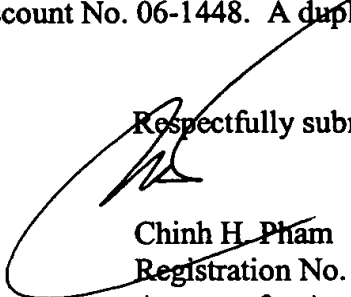
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2. Declaration In Support of Petition under 37 C.F.R. § 1.181;
3. Check in the amount of \$130 to cover the petition fee under 37 C.F.R. § 1.183;
4. Copies of the application filing transmittal, stamped return postcard, and filing receipt and updated filing receipt; and
5. Return postcard for this filing.

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Respectfully submitted,

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One Post Office Square
Boston, MA 02109
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Chinh H. Pham
Registration No. 39,329
Attorney for Applicant

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